JAP13 Rec'd PCT/PTO 17 PQC35 (20062005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | • | TRANSMITTAL LETTER TO | ATTORNEY'S DOCKET NUMBER 09894.0016-00 | | | | | |
|--------|---------------|--|--|--|--|--|--|--|
| _ | C | DESIGNATED/ELECTED ONCERNING A SUBMISSI | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/573,029 | | | | |
| | | ATIONAL APPLICATION NO. 2004/000562 | INTERNATIONAL FILING DATE September 8, 2004 | PRIORITY DATE CLAIMED September 22, 2003 | | | | |
| B | | F INVENTION WHEEL | | | | | | |
| | PLICA y ME | ANT(S) FOR DO/EO/US | | | | | | |
| _ | | | ates Designated/Elected Office (DO/EO/U | S) the following items and other information: | | | | |
| 1 . `` | | | concerning a filing under 35 U.S.C 371. | | | | | |
| 2. | \boxtimes | | T submission of items concerning a subm | sission under 35 U.S.C. 371. | | | | |
| 3. | | This is an express request to begin n (5), (6), (9) and (21) indicated below | • | C. 371(f)). The submission must include items | | | | |
| 4. | | The US has been elected (Article 31) | | | | | | |
| 5. | | A copy of the International Applicatio | n as filed (35 U.S.C. 371 (c)(2)). | | | | | |
| | | a. is attached hereto (require | d only if not communicated by the Interna | itional Bureau). | | | | |
| | | b. has been communicated b | y the International Bureau. | | | | | |
| | | c. | lication was filed with the United States R | eceiving Office (RO/US). | | | | |
| 6. | | An English language translation of th | ne International Application as filed (35 U. | S.C. 371(c)(2)). | | | | |
| | | a. is attached hereto. | | | | | | |
| | | b. has been previously submi | itted under 35 U.S.C. 154(d)(4). | | | | | |
| 7. | | Amendments to the claims of the Inte | ernational Application under PCT Article 1 | 19 (35 U.S.C. 371 (c)(3)). | | | | |
| | | a. are attached hereto (required) | red only if not communicated by the Interr | national Bureau). | | | | |
| | | b. | by the International Bureau. | | | | | |
| | | c. have not been made; howe | ever, the time limit for making such amen | dments has NOT expired. | | | | |
| | | d. have not been made and v | will not be made. | | | | | |
| 8. | | An English language translation of the | ne amendments to the claims under PCT | Article 19 (35 U.S.C. 371 (c)(3)). | | | | |
| 9. | | An oath or declaration of the inventor | r(s) (35 U.S.C. 371 (c)(4)). | | | | | |
| 10. | | An English language translation of the Article 36 (35 U.S.C. 371 (c)(5)). | ne annexes of the International Preliminar | y Examination Report under PCT | | | | |
| iten | ns 11 | to 20 below concern document(s) | or information included: | | | | | |
| 11. | \boxtimes | A Supplemental Information Disclosu | ure Statement under 37 CFR 1.97 and 1.9 | 98 | | | | |
| 12. | | An assignment document for recording | ng. A separate cover sheet in compliance | e with 37 CFR 3.28 and 3.31 is included. | | | | |
| 13. | | A Preliminary Amendment. | | | | | | |
| 14. | | An Application Data Sheet under 37 | CFR 1.76. | | | | | |
| 15. | | A Substitute specification. | | | | | | |
| 16. | | A power of attorney and/or change o | f address letter. | | | | | |
| 17. | | A computer-readable form of the sec | quence listing in accordance with PCT Ru | le 13 <i>ter</i> .2 and 35 U.S.C. 1.821-1.825. | | | | |
| 18. | | A second copy of the published Inter | rnational Application under 35 U.S.C. 154 | (d)(4). | | | | |
| 19. | | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce. P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313,1450.

PTO-1390 (Rev. 07-2005)

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| U.S. APPLICATION NO. (If known, see 37CFR 1.5) | | | INTERNATIONAL APPLICATION NO. A | | | ATTORNEY'S DOCKET NUMBER | |
|--|---|--|--|---------------------|--------------|--------------------------|--------------|
| 10/573,029 | | | PCT/CH2004/000562 0 | | | 09894.0016-00 | |
| 20. | Other items or information: IDS Form PTO/SB/08; and Copy of Written Opinion of the International Searching Authority. | | | | | | |
| The following fees have been submitted: | | | | | | | PTO Use Only |
| 21. 🔲 | BASIC NATIONAL | . FEE (37 CFR 1.492(a)): . | | \$300.00 | | \$ | |
| 22. | Examination fee (3) | | | | | | |
| by I | PEA/US indicates a | d by ISA/US or the Interna Il claims satisfy provisions | of PCT Article 33(1)-(4). | \$0 | red | \$ | • |
| All other situations | | | | | | \$ | |
| | | TOTAL of 21, | 22 and 23 = | | | \$ | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | |
| To | otal Sheets | Extra Sheets | Number of each addition thereof (round up to a v | | Rate | | |
| | - 100 =0 | 0/50= | , 0 | | x \$250.00 | \$ | |
| | | ishing any of the search fe national stage (37 CFR 1 | | e oath or declarati | on after the | \$ | |
| | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total Clai | ms | - 20 = | 0 | x \$50.0 | 0 | \$ | |
| Independe | ent Claims | -3 = | 0 | x \$200.0 | 00 | \$ | |
| MULTIPL | E DEPENDENT CL | AIM(S) (if applicable) | | +\$360.0 | 00 | \$ | : |
| | | | TOTAL O | F ABOVE CALCU | LATIONS = | \$ | ; |
| ☐ Applica | ant claims small enti | ity status. See 37 CFR 1.2 | 27. Fees above are redu | iced by ½. | | \$ | |
| | | \$ | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR 1.492(i)). | | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | | \$ | |
| | cording the enclose te cover sheet (37 C | \$ | | | | | |
| | | | | TOTAL FEES EN | CLOSED = | \$ | |
| | | | | | | Amount to be refunded: | \$0 |
| | | | | | | charged: | \$0 |

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| а. 🗌 | A check in the amount of \$ to cover the above fees is enclosed. | | | | | | |
|----------------------|---|--|--|--|--|--|--|
| b. 🗌 | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | |
| с. 🛛 | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed. | | | | | | |
| d. 🗌 | Fees are to be charged to a credit card. WARNING : Information on this form may become public. Credit card information should not be included on this form . Provide credit card information and authorization on PTO-2038. | | | | | | |
| NOTE | : Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | |
| FINNE GAR CUST | ALL CORRESPONDENCE TO: EGAN, HENDERSON, FARABOW, RRETT & DUNNER, LLP OMER ORDER NO. 22,852 C October 17, 2006 SIGNATURE C. Gregory Gramenopoulos NAME 36,532 REGISTRATION NUMBER | | | | | | |

PATENT Customer No. 22,852 Attorney Docket No. 09894.0016-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| in re Application of: | <i>)</i> |
|--|------------------------------|
| Willy MEIER |) Group Art Unit: Unassigned |
| Application No.: 10/573,029 | Examiner: Unassigned |
| Filed: March 22, 2006 |) \ |
| For: ESCAPE WHEEL |)) |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| Sir [.] | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the document on the attached listing. This Information Disclosure Statement is being filed supplemental to the Information Disclosure Statement of March 22, 2006 and before the mailing date of a first Office Action on the merits for the above-referenced application.

The document listed in this Information Disclosure Statement is the Written Opinion of the International Searching Authority in connection with related PCT International Application No. PCT/CH2004/000562. Copies of the cited foreign references in the Written Opinion were submitted in the Information Disclosure Statement filed on March 22, 2006.

Application No. 10/573,029 Attorney Docket No. 09894.0016-00

Applicant respectfully requests that the Examiner consider the listed document and indicate that it was considered by making the appropriate notation on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claim in the application and Applicant determines that the cited document does not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

By:

C. Gregory Gramenopoulos

Reg. No. 36,532

Dated: October 17, 2006

| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | | | | Complete if Known | | | |
|---|---------------------|---------------|---|------------------------|----------------|--|--|
| | | | | Application Number | 10/573.029 | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Filing Date | March 22, 2006 | | |
| | | | | First Named Inventor | Willy Meier | | |
| | | | | Art Unit | Unassigned | | |
| | (Use as many sheets | as necessary) | | Examiner Name | Unassigned | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 09894.0016-00 | | |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | | | |
|---|--|--------------------------------|--|---|--|--|--|
| Cite | Document Number | Issue or | Name of Patentee or | Pages, Columns, Lines, Where | | | |
| No.' | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear | | | |
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| | Cite No. ¹ | Cite Document Number | Cite No.1 Document Number Issue or Publication Date MM-DD-YYYY | Cite No.1 Issue or Publication Date MM-DD-YYYY Number-Kind Code2 (if known) Name of Patentee or Applicant of Cited Document | | | |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|----------------------|--------------------------|---|--------------------------------|--|--|--------------------------|--|--|--|
| Examiner Initials | Cite No. ¹ | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ | | | |
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| | NON PATENT LITERATURE DOCUMENTS | | | | | | |
|----------------------|---------------------------------|---|--------------------------|--|--|--|--|
| Examiner Initials | | | Translation ⁶ | | | | |
| | | Written Opinion of the International Searching Authority, for PCT/CH2004/000562, (5 pages). | | | | | |
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| Examiner | Date | |
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| Signature | Considered | |
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